

Fee Schedules

Financial Planning and Advisory Account Services

Through my affiliation with Eagle Strategies LLC, I am able to provide comprehensive financial plans and investment advisory account services. The fees associated with a financial plan and managed accounts vary and are calculated differently.

Fee-based Financial Plans

The fee for a financial plan is based on a number of factors, which are carefully considered, including:

Financial Planning Services Provided	Depth of Analysis	Case Complexity
Depth of Engagement	Client Financial Circumstances	Advisor Experience

After an analysis of your financial situation, the exact fee will be determined. My average fee range per plan is:

\$ _____ to \$ _____.

The fee for any subsequent financial plan(s) may be the same, higher, or lower depending on whether there has been a change in circumstances.

Investment Advisory Account Fees

The client fee for managed accounts is comprised of two factors – the sponsor fee and the advisor fee.

The sponsor fee consists of the administrative fee and the sub-manager fee (if applicable). The administrative and sub-manager fee components will vary depending on the program, manager and strategy selected and the amount of assets invested.

The advisor fee is the fee I charge to provide comprehensive and independent advice as well as ongoing monitoring, support and reviews of your advisory accounts. My fee is determined among many factors including your expected account size, the anticipated number of trades and types of securities being traded, your individual circumstances and the scope of advisory and other client services provided. Below is the advisor fee schedule:

Assets Under Management	Advisor Fee
Under \$250k	
\$250k - \$500k	
\$500k - \$1M	
\$1M - \$2M	
\$2M - \$5M	
Above \$5M	

Fee schedules are subject to change. See reverse side for disclosures.

Fee Schedules

Financial Planning and Advisory Account

Financial Planning and Advisor Fees reflect my typical fee for these services and are negotiable. Financial Planning Fees are typically collected in full at contract signing, though other arrangements may be available. Advisory accounts are subject to an annual minimum administrative fee. The advisory fee is calculated and collected monthly.

For the Representative Directed Program, the advisor fee range shown is a breakpoint and reflects the assessed advisor fee for all assets as each threshold is crossed. For all other Advisory programs, there are two pricing options for the advisor fee range; it may be tiered, which reflects the assessed advisor fee for the portion of assets within the stated band, or it may be a breakpoint based on the proposed initial investment. This decision will be part of the discussion when establishing your account.

The Financial Planning Agreement and/or the Statement of Investment Selection (SIS), each of which you will sign if you engage in a Financial Plan or open an Eagle account, will reflect your specific fees including, if applicable, the minimum administrative fee. For Advisory accounts, please note that additional sub-manager, Platform, 12b-1, and Expense Ratio fees may apply. You should reference Eagle's Wrap Fee or Firm Disclosure brochures, available at www.eaglestrategies.com/important--disclosures, for additional information on fees including how and when fees may change and the method of calculation.

* Registered Representative offering securities through NYLIFE Securities LLC, Member FINRA/SIPC, a Licensed Insurance Agency, and a Financial Adviser offering investment advisory services through Eagle Strategies LLC, A Registered Investment Adviser. He/she is also an agent licensed to sell insurance through New York Life Insurance Company and may be licensed to sell insurance through various other independent unaffiliated insurance companies.

Eagle Strategies LLC (Eagle) is an SEC-registered investment adviser. Registration with the SEC does not imply a certain level of skill or training. Eagle investment adviser representatives (IARs) act solely in their capacity as insurance agents of New York Life, its affiliates, or other unaffiliated insurance carriers when recommending insurance products and as registered representatives when recommending securities through NYLIFE Securities LLC (member FINRA/SIPC), an affiliated registered broker-dealer and licensed insurance agency. Investment products are not guaranteed and may lose value. No tax or legal advice is provided by Eagle, its IARs its affiliates. Eagle and NYLIFE Securities are New York Life Companies.

SMRU 1761264 Exp. Date 12/31/23



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